### **Dissociative (D)**

- Generally observed for 18 electron complexes with strong field ligands
- $e.g.$  Cr(CO)<sub>6</sub>, MnBr(CO)<sub>5</sub>, Ni(CO)<sub>4</sub>

 $k_1$  (slow)  $k_2$  (fast)  $(CO)_5$ Cr-CO  $\leftarrow$   $\left(\text{CO}\right)_5$ Cr  $\left(\text{CO}\right)_5$ Cr  $\left(\text{CO}\right)_5$ Cr-L  $18e^{t}$   $k_{-1}$   $16e^{t}$  $18e<sup>-</sup>$  $\Delta S^{\ddagger}$  = +10 to +15 e.u. If  $k_{-1}$  is not important: Rate =  $k_1[(CO)_5Cr$ -CO] = does not depend on [L] If  $k_{-1}$  is important: Rate =  $k_1k_2[(CO)_5Cr\text{-}CO][L]$  $k$ <sub>1</sub> $[CO]$  +  $k$ <sub>2</sub> $[L]$ 

- If  $\Delta S^{\ddagger}$  is significantly positive, the mechanism is almost certainly dissociative.
- If the rate does not depend on [L], the mechanism is almost certainly dissociative.
- The *cis*-effect can be used to work out which isomer will be formed in dissociative substitution reactions of octahedral compounds [*e.g.* MnBr(CO)<sub>5</sub>].

### **Associative (A)**

- Generally observed for 16 and 17 electron complexes
- *e.g.* square planar complexes as well as other geometries



- If  $\Delta S^{\ddagger}$  is significantly negative, the mechanism is almost certainly associative.
- If the mechanism is associative, the rate of reaction will depend on [L]. However, a dependence of the reaction rate on [L] does not necessarily indicate an associative mechanism.

### **Square Planar Complexes:**

- Associative substitution occurs with retention of configuration.
- The *trans*-effect [made up of the trans influence (a thermodynamic effect) and  $\pi$ -acceptor ability (a kinetic effect)] can be used to work out which isomer will be formed in associative substitution reactions of square planar compounds.
- $e.g.$   $[$ PtCl(NH<sub>3</sub>)<sub>3</sub>]<sup>+</sup> + Cl<sup>–</sup> → *trans*-[PtCl<sub>2</sub>(NH<sub>3</sub>)<sub>2</sub>]; while  $[$ PtCl<sub>3</sub>(NH<sub>3</sub>)]<sup>–</sup> + NH<sub>3</sub> → *cis*-[PtCl<sub>2</sub>(NH<sub>3</sub>)<sub>2</sub>].

#### Exceptions: Associative Substitution for 18 electron complexes (negative  $\Delta S^{\ddagger}$ )

Certain complexes with  $\pi$ -ligands (*e.g.* cyclopentadienyl, indenyl, fluorenyl):

$$
\begin{array}{ccc}\n & k_1 \text{ (slow)} & k_2 \text{ (fast)} \\
\hline\n & + PR_3 & \n\end{array}\n\quad\n\begin{array}{ccc}\n & k_2 \text{ (fast)} & \n\end{array}\n\quad\n\begin{array}{ccc}\n & k_2 \text{ (fast)} & \n\end{array}\n\quad\n\begin{array}{ccc}\n & k_1 \text{ (slow)} & k_2 \text{ (fast)} & \n\end{array}\n\quad\n\begin{array}{ccc}\n & k_2 \text{ (fast)} & \n\end{array}\n\quad\n\begin{array}{ccc}\n & k_1 \text{ (slow)} & k_2 \text{ (fast)} & \n\end{array}\n\quad\n\begin{array}{ccc}\n & k_2 \text{ (fast)} & \n\end{array}\n\quad\n\begin{array}{ccc}\n & k_1 \text{ (slow)} & k_2 \text{ (fast)} & \n\end{array}\n\quad\n\begin{array}{ccc}\n & k_2 \text{ (fast)} & \n\end{array}\n\quad\n\begin{array}{ccc}\n & k_1 \text{ (slow)} & k_2 \text{ (fast)} & \n\end{array}\n\quad\n\begin{array}{ccc}\n & k_2 \text{ (fast)} & \n\end{array}\n\quad\n\begin{array}{ccc}\n & k_1 \text{ (slow)} & k_2 \text{ (fast)} & \n\end{array}\n\quad\n\begin{array}{ccc}\n & k_1 \text{ (slow)} & k_2 \text{ (fast)} & \n\end{array}\n\quad\n\begin{array}{ccc}\n & k_1 \text{ (slow)} & k_2 \text{ (fast)} & \n\end{array}\n\quad\n\begin{array}{ccc}\n & k_1 \text{ (slow)} & k_2 \text{ (fast)} & \n\end{array}\n\quad\n\begin{array}{ccc}\n & k_1 \text{ (slow)} & k_2 \text{ (fast)} & \n\end{array}\n\quad\n\begin{array}{ccc}\n & k_1 \text{ (slow)} & k_2 \text{ (fast)} & \n\end{array}\n\quad\n\begin{array}{ccc}\n & k_1 \text{ (slow)} & k_2 \text{ (fast)} & \n\end{array}\n\quad\n\end{array}
$$

■ Certain complexes with redox active ligands (e.g. NO<sup>+</sup>/NO<sup>-</sup>, 2 or 4 electron donor alkynes):

$$
\begin{array}{ccc}\n[(CO)_3Co(NO)] & k_1 \text{ (slow)} \\
\hline\n&+ PR_3 & [(CO)_3Co(PR_3)(NO)] & k_2 \text{ (fast)} \\
\hline\n&+ PR_3 & \text{ (C0)}_2Co(PR_3)(NO)] & -CO \\
\end{array}
$$
\nLinear NO<sup>+</sup>

\nlinear NO<sup>+</sup>

\n18 e<sup>-</sup>

\n18 e<sup>-</sup>

**Substitution for 17 electron complexes = associative**

$$
V(CO)6 + PR3 \longrightarrow V(CO)6(PR3) \longrightarrow V(CO)5(PR3) + CO
$$
  
\n7 coordinate  
\n17 e<sup>-</sup>  
\n19 e<sup>-</sup>  
\n17 e<sup>-</sup>  
\n17 e<sup>-</sup>  
\nRate of substitution:  $PMe3 > PnBu3 > P(OMe)3 > PPh3$   $\Big\}$  Balance of *steric  
\nand electronic effects*

By contrast, 18 electron  $[V(CO)_6]$ <sup>-</sup> fails to react even with molten PPh<sub>3</sub>.

#### **Interchange (I)**

- Intermediate between dissociative and associative mechanisms
- $I_d$  = closer to dissociative mechanism
- **I<sub>a</sub>** = closer to associative mechanism



- **Dissociative interchange (I<sub>d</sub>)**  $\rightarrow$  **Unlike dissociative mechanism,**  $\Delta S^{\ddagger}$  **is negative and rate** always depends on [L].
- Associative interchange  $(I_a) \rightarrow V$ ery difficult to distinguish from the associative mechanism.

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**Cis Effect** (for dissociative substitution in octahedral complexes):

 $MeCO_2^-$  > RC(O)<sup>-</sup> > SH<sup>-</sup> > Cl<sup>-</sup> > Br<sup>-</sup> > l<sup>-</sup> > Carbene > PPh<sub>3</sub> > H<sup>-</sup>, CO

**Trans Effect** (for associative substitution in square planar complexes – a kinetic phenomenon):

CO, CN<sup>-</sup>, C<sub>2</sub>H<sub>4</sub> > PR<sub>3</sub>, H<sup>-</sup> > Me<sup>-</sup> > Ph<sup>-</sup> > NO<sub>2</sub><sup>-</sup> > SCN<sup>-</sup> > I<sup>-</sup> > Br<sup>-</sup> > Cl<sup>-</sup> > Py, NH<sub>3</sub>, OH<sup>-</sup>, H<sub>2</sub>O

**Trans Influence** (responsible for the ground state contribution to the trans effect):

H >  $PR_3$  > SCN · > I > Me · > CO > CN · > Br · > Cl · > NH<sub>3</sub> > OH

Strong  $\sigma$ -donors weaken the metal-ligand bond trans to themselves.

 $\pi$ -**Acceptor Ability** (responsible for the transition state contribution to the trans effect):

 $C_2F_4$ , NO<sup>+</sup> >  $C_2H_4$ , CO > CN<sup>-</sup> > NO<sub>2</sub><sup>-</sup> > SCN<sup>-</sup> > I<sup>-</sup> > Br<sup>-</sup> > Cl<sup>-</sup> > NH<sub>3</sub> > OH<sup>-</sup>

Strong  $\pi$ -acceptors greatly prefer an equatorial position in the trigonal bipyramidal transition state formed during associative substitution with square planar complexes (*i.e.* the transition state is lower in energy if the strongest  $\pi$ -acceptor is in an equatorial position  $\rightarrow$  a kinetic effect). See below:

